

95 Rec'd PCT/PTO 27 MAR 2000

FORM PTO-1390  
(REV 5-93)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTORNEY DOCKET NO.  
P107424-00001TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

DATE: March 27, 2000

U.S. APPLN. NO.  
(IF KNOWN, SEE 37 CFR 1.5)


09/508519

INTERNATIONAL APPLICATION NO.  
PCT/JP98/04286INTERNATIONAL FILING DATE  
September 25, 1998PRIORITY DATE CLAIMED  
September 27, 1997

TITLE OF INVENTION: COATING COMPOSITION AND OPTICAL RECORDING MEDIUM

APPLICANT(S) FOR DO/EO/US: Tsuyoshi KOMAKI

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.  
(THE BASIC FILING FEE IS ATTACHED)
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT articles 22 and 39(1).
4. ☐ A proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☒ has been transmitted by the International Bureau.
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☐ have been transmitted by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
- Check No. 288046
- Drawing(s) 1 sheet
- International Search Report
- International Preliminary Examination Report (PCT/IPEA/409)
- Front Page of International Bulletin No. WO99/16835

U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.R. § 1.53) <b>09/508519</b>		INTERNATIONAL APPLICATION NO. PCT/JP98/04286		ATTORNEY DOCKET NO. P107424-00001  DATE: March 27, 2000	
17. <u>XX</u> The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO.....\$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482)....\$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$760.00 Neither international preliminary examination fee (37 CFR 1.482) or international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$ 96.00				CALCULATIONS   PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 840.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than _ 20 _ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
Claims	Number Filed	Number Extra	Rate		
Total Claims	15 - 20 =	0	X \$ 18.00		
Independent Claims	1 - 3 =	0	X \$ 78.00		
<input type="checkbox"/> Multiple dependent claim(s) (if applicable)			+ \$260.00	260.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1100.00	
<input type="checkbox"/> Reduction by 1/2 for filing by small entity, if applicable. <input type="checkbox"/> Verified Small Entity statement must also be filed. <input type="checkbox"/> (Note 37 CFR 1.9, 1.27, 1.28).					
SUBTOTAL =				\$ 1100.00	
<input type="checkbox"/> Processing fee of \$130.00 for furnishing the English translation later the _ 20 _ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
TOTAL NATIONAL FEE =				\$ 1100.00	
<input type="checkbox"/> Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per				\$ 40.00	
<input type="checkbox"/> Property				+	
TOTAL FEES ENCLOSED =				\$ 1140.00	
				Amount to be refunded	\$
				Charged	\$
a. <u>XX</u> A check in the amount of \$ <u>1140.00</u> to cover the above fees is enclosed. b. <u>  </u> Please charge my Deposit Account No. <u>01-2300</u> in the amount of \$ <u>      </u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <u>XX</u> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>01-2300</u> .					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO:					
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				 Douglas H. Goldhush Reg. No. 33,125	